

Client: Men's Wearhouse Inc.	Subcontractor of:
Vendor: Pacific Wave Inc	Inspector Name: Rashmi Raja, Nupur Singh
Country of Inspection: India	Date Sent: Thursday, June 04, 2015
Country Risk Rating:	Supplier ID:
Type: Annual Audit	Manufacturer ID (factory):

## Grading Summary

	Total # of points in compliance	Total # of points	Percentage Compliant
Business Partner Requirements	7	9	78%
Physical Security	15	17	88%
Access Controls	10	10	100%
Procedural Security	5	5	100%
Truck & Container Security	14	14	100%
Personnel Security	3	3	100%
Information Technology Security	6	6	100%
Education and Training Awareness	4	4	100%
Overall Assessment	64	68	94%



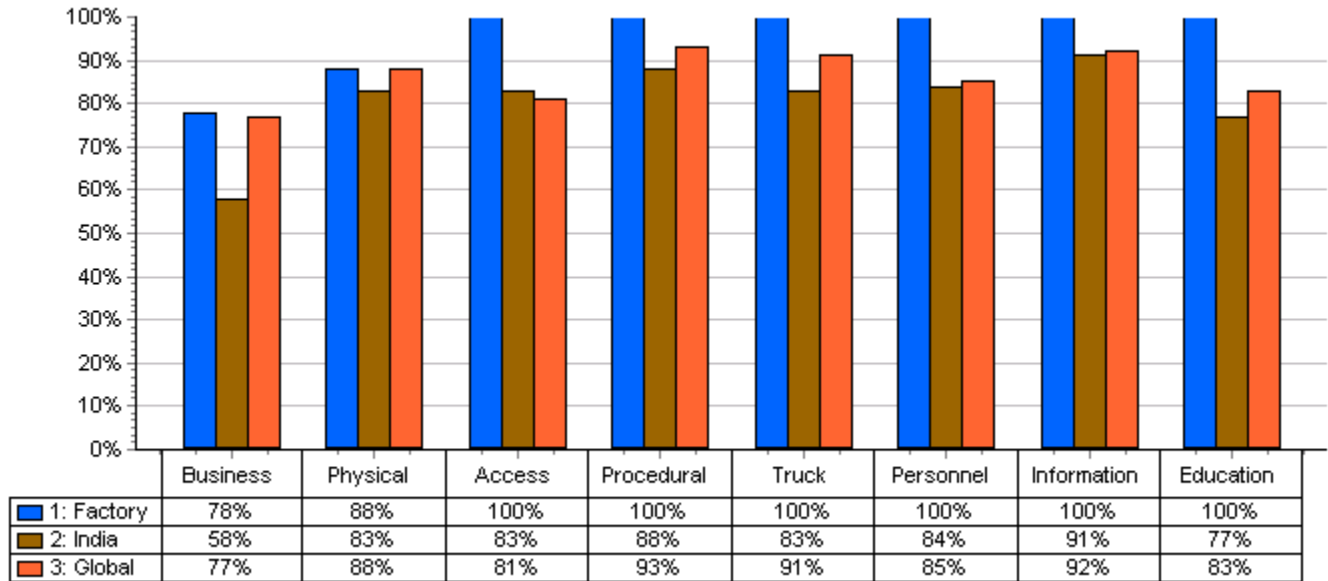
**Preferred**

	Under 70%	71% - 85%	86% - 100%
	Needs Improvement	Subject to Improvement	Preferred

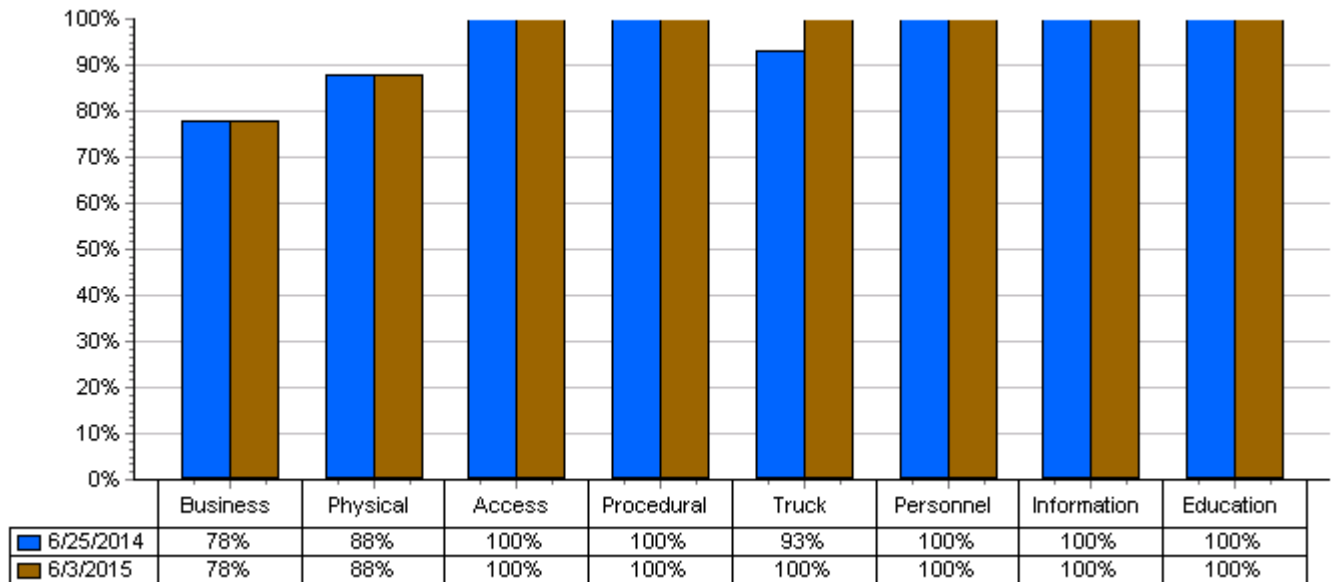
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**Benchmark Comparison**



**Historical Comparison**



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## Corrective Actions

## Business Partner Requirements

- # 3 The facility does not routinely require business partners to provide proof of membership to C-TPAT, such as by providing their SVI number.**

In the event that any business partners are members of C-TPAT, the facility must require them to provide proof of membership, either with an SVI number or an alternate certification number.

- # 4 The facility does not request information from business partners on their membership status in other supply chain security programs, such as AEO, PIP or other regional programs.**

In the event that the business partner is a member of another supply chain security program, they must provide proof of membership.

## Physical Security

- # 20 The facility is not equipped with an anti-intrusion alarm system.**

It is recommended that the facility be equipped with an anti-intrusion alarm system. Alarms help prevent theft and damage to the facility goods and machines, while also alerting the security guards and others of the intrusion.

- # 21 The facility is not equipped with a video surveillance system.**

It is recommended that the facility be equipped with video surveillance systems that connect to all access points of the facility. Surveillance systems help prevent theft and damage to the facility goods and machines, while also recording the activities within the facility grounds throughout the day.

## Access Controls

**No Concerns**

N/A

## Procedural Security

**No Concerns**

N/A

## Truck &amp; Container Security

**No Concerns**

N/A

## Personnel Security

**No Concerns**

N/A

## Information Technology Security

**No Concerns**

N/A

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**Corrective Actions**

**Education and Training Awareness**

**No Concerns**

N/A

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## Client Profile

Client contact: Lorne Cytrynbaum  
Phone:

Email: compliance@tmw.com  
Fax:

## Facility Profile

Facility Goodwill Fabrics Pvt Ltd  
Address: #10-11 Begur Habli, Singasandra Village,  
Hosya Monn Rd  
Bangalore Karnataka 560068  
India

Contact(s)  
**Sujay@goodwillfabrics.com**  
  
**Mr. Ravi Agarwal**  
**Tel: +91 80 2257589**  
  
**Anjana Jagdish**  
**Tel: 918065594759 Fax: 918022587589**  
**eeshan@kapilla.com**  
  
**Mr. Bhaiya**  
**bhaiya@theclothingsource.net**

Inspection Number: 271357

Primary office or parent company address (if different from above):

#10-11 Begur Habli, Singasandra Village, Hosya Monn Rd  
Bangalore Karnataka 560068 , India

Name of the company from which facility receives orders to produce client goods:

Goodwill Fabrics Pvt Ltd

Length of time producing for client (from year of first order):

2013

Name and title of person in charge of security program at this facility:

Mr. Ravi Agarwal - General Manager

Previous security inspection:

Yes  No

Date of prior security inspection:

Wednesday, June 25, 2014

Grade of prior security inspection:

Preferred

## Audit Profile

Inspection team:

Rashmi Raja, Nupur Singh

Date:

Wednesday, June 03, 2015

Time In / Time out:

10.00 am -5.15 pm

The audit is:

Scheduled Appointment  Unannounced

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## Audit Information

## Workforce/ Production Profile

Number of Permanent Employees: Current	235 ( Male - 32; Female - 186)
Number of Temporary Employees: Current	NA
Production Capacity: Current	30000 pcs per month

## Industry Partnerships

A Customs - Trade Partnership Against Terrorism (C-TPAT)  
[for Mexican and Canadian Manufacturers ONLY] No

Auditor Comments:  
The facility is located in India

B Authorized Economic Operator (AEO). Please specify which country  
program you belong to.

Auditor Comments:  
The facility is located in India

C Business Anti-Smuggling Coalition No

Auditor Comments:  
The facility is located in India

D Americas Counter Smuggling Initiative (ACSI)  
(For Central & South America, the Caribbean and Mexico) No

Auditor Comments:  
The facility is located in India

E Is this facility located in a Trade Zone? No

Auditor Comments:  
The facility is not located in trade zone.

F Member of Other Industry Partnership None

G Member of Other Industry Partnership None

## Service Providers

## Land Carriers (Trucking) Profile

Which party is responsible for arranging the transportation of goods from the factory to the consolidator or port?	The client	<input type="checkbox"/>
	The factory	<input checked="" type="checkbox"/>
	The vendor	<input type="checkbox"/>
	Other	<input type="checkbox"/>

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## Service Providers

## Land Carriers (Trucking) Profile

After the goods leave the factory, where do they go?

The consolidator

The port/airport

Other

Does the facility utilize specific Land Carrier companies?

Yes

How many land carriers (trucking companies) does the facility use to transport goods to the next point (i.e. to the consolidators or port of export)?

01

Land carrier facility name

M/s Jayapal Roadways

Physical Address

No. 8/L, Sharada Street, Samaja Building, 1st Floor, 1st cross, 1st main, chamarajpet, Bangalore-560018

Country

India

Phone

080-26521010/28441392/94480865  
21/99001

Contact Name

Mr M.N. Jayapal- Proprietor

Land Carrier Facility Name

N/A

Physical Address

N/A

Country

N/A

Phone

N/A

Contact Name

N/A

## Freight Forwarders/ Consolidators

Does the facility contract specific Freight Forwarder / Consolidator companies?

Yes

How many Freight Forwarders or Consolidators does the facility use for goods? (I) (D)

M/s UPS SCS (India) Pvt Ltd

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## Service Providers

## Freight Forwarders/ Consolidators

Freight forwarder facility name	UPS SCS India Pvt Ltd
Physical Address	No.3/26, 10th avenue , ashok nagar , Chennai - 600080
Country	India
Phone	044- 45027154
Contact Name	Mr. Chandran Beulah - Executive
Freight Forwarder Facility Name	NA
Physical Address	NA
Country	NA
Phone	NA
Contact Name	NA

## Cargo Flow

What is the distance from factory or the loading facility to the port of export? (i.e. # of kms.)	7 hours for Chennai/10 hours for Tuticorin
Which port of exit does the cargo leave from?	Chennai/Tuticorin
Are there are other business that handle the cargo prior to shipment? If so, specify.	No

## Business Partner Requirements

## Security Requirements

1 Does the facility have written proof of having communicated the C-TPAT standards to relevant business partners, including trucking companies, warehouses, or others?	Yes
2 Does the facility have written procedures for the selection of business partners involved in the handling or processing of cargo?	Yes

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## Business Partner Requirements

## Security Requirements

**3 Does the facility have a written procedure requiring C-TPAT eligible business partners to disclose their membership status?** No

The facility does not routinely require business partners to provide proof of membership to C-TPAT, such as by providing their SVI number.

## Auditor Comments:

The facility does not routinely require business partners to provide proof of membership to C-TPAT, such as by providing their SVI number.

**4 Does management ask suppliers about their membership to other supply chain security programs (AEO, PIP, etc.)?** No

The facility does not request information from business partners on their membership status in other supply chain security programs, such as AEO, PIP or other regional programs.

## Auditor Comments:

The facility does not request information from business partners on their membership status in other supply chain security programs, such as AEO, PIP or other regional programs

**5 For these non-C-TPAT member business partners, does the facility require they provide written or electronic confirmation (signed letter or completed checklist) attesting to compliance with the C-TPAT standards?** Yes

## Auditor Comments:

The same was communicated to business Partners April 02, 2014. Internal audit was conducted on April 28 2015 based on CTPAT requirements

**6 Does the facility require relevant business partners (involved in cargo handling) to provide copies of security policies (consistent with C-TPAT standards)?** Yes

**7 Does the facility have a written Risk Assessment procedure in place for evaluating the relevant business partners involved in shipping to US? (i.e. trucking or cargo handling)** Yes

## Auditor Comments:

The facility has written Risk Assessment Procedures in place

**8 Based on risk, does designated management personnel verify the security measures (via site visits) in place at the facilities belonging to their business partners who handle cargo?** Yes

## Auditor Comments:

Facility has conducted internal audit on May 06, 2014 for checking their compliance on CT PAT Security Standards

**9 Are there written procedures for requiring freight forwarders or carriers to ensure that all subcontracted cargo handlers be members of C-TPAT or meet the standards?** Yes

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## Physical Security

## Grounds

10	Is the perimeter surrounded by unbroken fencing?	Yes
11	Is the fencing regularly inspected?	Yes
12	Does the facility keep the number of gates to a minimum necessary for proper access and safety? (e.g. 2 for vehicles and 2 for personnel and visitors).	Yes
13	Are entrances monitored by security personnel or devices?	Yes
14	Is the area for parking private vehicles separate from the shipping and loading areas?	Yes
15	Are facility exterior doors equipped with working locking devices, and are they secured at appropriate times?	Yes
16	Are facility exterior windows equipped with working locking devices, and are they secured at appropriate times?	Yes
17	Are facility keys and access codes controlled by management or security personnel?	Yes

## Building

18	Is the facility constructed of durable and impenetrable materials, which resist unlawful entry and protect against outside intrusion, and are maintenance inspections regularly conducted?	Yes
19	Is lighting provided at all times at every entry and exit of the building, cargo, handling and storage areas, fence lines and parking areas?	Yes
20	Is the facility equipped with an anti-intrusion alarm system? The facility is not equipped with an anti-intrusion alarm system. Auditor Comments: The facility is not equipped with an anti-intrusion alarm system	No
21	Is the facility equipped with a video surveillance system? The facility is not equipped with a video surveillance system. Auditor Comments: The facility is not equipped with a video surveillance system.	No
22	Is the loading area secure and separate from other areas of the facility in a way that is sufficient to provide secure access restriction?	Yes

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**Physical Security****Building**

- |    |  |     |
|----|--|-----|
| 23 | Is the packing area secure and separate from other areas of the facility in a way that is sufficient to provide secure access restriction?               | Yes |
| 24 | Is the cargo staging/storage area secure and separate from other areas of the facility in a way that is sufficient to provide secure access restriction? | Yes |
| 25 | Are dangerous or hazardous goods cargo (finished products) segregated in storage by a secure, guarded, or fenced-in area?                                | Yes |
| 26 | Are international and domestic goods cargo segregated by a secure, guarded, or fenced-in area?   | Yes |

**Access Controls****Visitors and Vendors**

- |    |  |     |
|----|--|-----|
| 27 | Are visitors and vendors issued temporary visitors badges to be displayed throughout their visit?                                | Yes |
| 28 | Does the facility staff monitor visitors and vendors while on the premises?  | Yes |
| 29 | Are visitors and vendors required to provide photo (or an alternative non-photo ID) identification before entering the facility? | Yes |
| 30 | Are visitor records or logs kept?  | Yes |
| 31 | Does the facility have procedures in place to identify, challenge or remove unauthorized or unidentified persons?                | Yes |

**Security Controls**

- |    |  |     |
|----|--|-----|
| 32 | Are employees identified before being allowed access onto factory grounds?   | Yes |
| 33 | Are there procedures in place to remove identification, facility, and system access for terminated employees (badges, keycards, etc.)? | Yes |
| 34 | Are incoming mail and packages checked or screened to ensure security?   | Yes |
| 35 | Are written procedures in place to identify and authorize all persons entering the loading area?                                       | Yes |

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**Access Controls****Security Controls**

36 Are only authorized personnel allowed within the packing area? Yes

**Procedural Security****Incoming / Outgoing Goods**

37 Are documents used for export goods complete, legible, and accurate? Yes

38 Are the marks, numbers, weights, and quantities of incoming and outgoing cargo verified against the documents presented? Yes

39 Are discrepancies with shipping documents (overages in numbers of cartons / shipping units) reviewed with factory management- as appropriate? Yes

40 Is there a written procedure for contacting law enforcement when suspicious or illegal activities are detected -as appropriate? Note: N/A is not an option. If the facility has never experienced this, they should still be able to answer. Yes

41 Are there written procedures in place to maintain the integrity of data by preventing unauthorized personnel from making changes? Yes

**Truck & Container Security****Loading Security**

42 Is every incoming commercial vehicle (including driver) verified and confirmed for valid purpose of entry? Yes

43 Are empty and loaded containers and vehicles stored in secure and restricted areas? Yes

44 If the goods are not loaded into containers or trailers onsite, does the facility verify the security measures at the consolidation center? Yes

45 If the facility loads trailers for shipping to the US via land route, are there written procedures for inspecting the 10 points of this vehicle? Yes

46 Is a security officer or a factory supervisor responsible for inspecting the container or vehicle prior to loading? Yes

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**Truck & Container Security****Container and/or Vehicle Security**

47 Is there a written procedure for a seven-point (front wall, left side, right side, floor, ceiling/roof, inside/outside doors, outside/undercarriage) pre-loading container / conveyance inspection? Yes

48 If irregularities are detected during such a container or vehicle inspection, is a review with factory management conducted prior to release of freight? Yes

49 Is law enforcement notified of any unexplained abnormalities , as appropriate? Yes

**Seals**

50 Does the facility use ISO 17712 compliant high security seals to secure cargo in containers? Yes

51 Do only designated employees distribute seals? Yes

52 Are there written procedures for the verification of use, control, and tracking of seals on containers, trailers, and railcars? Yes

53 Does the facility test the integrity of the seals (such as tugging, twisting, and pulling) once they have been affixed? Yes

**Conveyance Security**

54 Are there written procedures for investigating events when cargo anomalies are discovered (cargo arrives damaged, missing or is contraband) at the next stage in the supply chain? Yes

55 Does the facility have procedures established to track the timely movement of incoming and outgoing goods, to include tracking the timely movement to the port or to consolidators? Yes

**Personnel Security****Hiring**

56 Consistent with local regulations, does the facility conduct background and/or reference checks and investigations for prospective employees? Yes

57 Which of the following screening procedures does the facility use before hiring employees:

Application Verification	<input checked="" type="checkbox"/>
Background Check	<input checked="" type="checkbox"/>
Interviews	<input checked="" type="checkbox"/>
Reference Checks	<input checked="" type="checkbox"/>
Other	<input type="checkbox"/>

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**Personnel Security****Hiring**

58 Are periodic checks on existing employees performed based on cause, and/or the sensitivity of positions? Yes

59 Upon employment termination, are identifying documents and access controls collected? (i.e. badges, key cards, etc.) Yes

**Information Technology Security****Hardware and Software Security Measurements**

60 Is there a written security policy for the facility's Information Technology (IT) system? Yes

61 Does the factory protect its IT system from illegal use and access through the use of firewalls, employee passwords, and anti-virus software? Yes

62 Are there procedures to change employee passwords on a regular basis? Yes

63 Are there disciplinary actions to be followed when an employee abuses the IT system? Yes

64 Are pertinent employees trained in the IT security system? Yes

65 Is the level of access to the company's information system based on the level of job responsibilities and needs? Yes

**Education and Training Awareness****Internal Training**

66 Does the facility conduct anti-terrorism security-training sessions for ALL employees? Yes

67 Did the factory personnel you randomly selected and questioned, confirm that they have received security training? \* Ask two to three line supervisors or other employees if the facility conducts security training for them. Yes

68 Are line supervisors or designated employees trained on how to recognize internal conspiracies, maintain product integrity and challenge unauthorized access to secure areas? Yes

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Education and Training Awareness

Internal Training

69 Are employees offered incentives/ recognition for reporting suspicious situations or situations where security has been compromised? Yes

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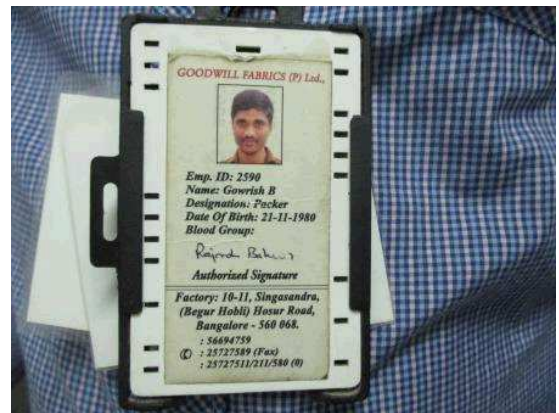


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Pictures



Facility Name board



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